Automatic Root Cause Quantification for Missing Edges in JavaScript Call Graphs

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11 — Abstract –

Building sound and precise static call graphs for real-world JavaScript applications poses an 12 enormous challenge, due to many hard-to-analyze language features. Further, the relative importance 13 of these features may vary depending on the call graph algorithm being used and the class of 14 applications being analyzed. In this paper, we present a technique to *automatically* quantify the 15 relative importance of different root causes of call graph unsoundness for a set of target applications. 16 The technique works by identifying the dynamic function data flows relevant to each call edge missed 17 by the static analysis, correctly handling cases with multiple root causes and inter-dependent calls. 18 We apply our approach to perform a detailed study of the recall of a state-of-the-art call graph 19 construction technique on a set of framework-based web applications. The study yielded a number 20 of useful insights. We found that while dynamic property accesses were the most common root cause 21 of missed edges across the benchmarks, other root causes varied in importance depending on the 22 benchmark, potentially useful information for an analysis designer. Further, with our approach, we 23 could quickly identify and fix a recall issue in the call graph builder we studied, and also quickly 24 assess whether a recent analysis technique for Node.js-based applications would be helpful for 25 browser-based code. All of our code and data is publicly available, and many components of our 26 technique can be re-used to facilitate future studies. 27

- $_{28}$ 2012 ACM Subject Classification $\,$ Theory of computation \rightarrow Program analysis
- ²⁹ Keywords and phrases JavaScript, call graph construction, static program analysis
- 30 Digital Object Identifier 10.4230/LIPIcs.ECOOP.2022.3
- 31 Related Version Extended Version: https://arxiv.org/abs/2205.06780

Funding This research was supported in part by a gift from Oracle Labs and by the National Science Foundation under grant CCF-2007024. This research was partially sponsored by the OUSD(R&E)/RT&L and was accomplished under Cooperative Agreement Number W911NF-20-2-0267. The views and conclusions contained in this document are those of the authors and should not be interpreted as representing the official policies, either expressed or implied, of the ARL and OUSD(R&E)/RT&L or the U.S. Government. The U.S. Government is authorized to reproduce and distribute reprints for Government purposes notwithstanding any copyright notation herein.

³⁹ **1** Introduction

⁴⁰ Effective call graph construction is critically important for JavaScript static analysis, as

- 41 JavaScript analysis tools often need to reason about behaviors that span function boundaries
- ⁴² (e.g., security vulnerabilities [26, 27] or correctness of library updates [40]). Unfortunately, call
- 43 graph construction for real-world JavaScript programs poses significant challenges, particularly





Figure 1 Overview of our methodology.

for client-side code in web applications. Modern web applications are increasingly built using 44 sophisticated frameworks like React [4] and AngularJS [6].¹ Sophisticated recent JavaScript 45 static analysis frameworks [32, 33, 36, 52] often focus on sound and precise handling of 46 complex JavaScript constructs. While these systems have advanced significantly, they cannot 47 vet scale to handle modern web frameworks. There are also a growing number of unsound 48 but pragmatic call graph analyses designed primarily to give useful results for real-world 49 code bases [8, 25, 40, 44]. While these techniques have been shown effective in certain 50 domains, their unsoundness can lead to missing many edges when analyzing framework-51 based applications [27], i.e., the analyses can have low *recall*. For bug-finding and security 52 analyses, these missing edges are of key concern as they can lead to false negatives like missed 53 vulnerabilities. 54

To guide development of better call graph builders, it would be highly useful to know 55 which language constructs are contributing most to reducing recall for a set of benchmarks of 56 interest. JavaScript has many different constructs that are typically ignored or only partially 57 handled by pragmatic static analyses, due to their dynamic nature [49]. Further, there 58 are complex tradeoffs involved in adding support for these constructs, as a more complete 59 handling may lead to scalability and precision problems. Analysis designers aiming to improve 60 results for a set of benchmarks would be helped by quantitative guidance on the relative 61 importance of different unhandled language features. 62

This paper presents a novel technique for *automatic root cause quantification* for missing edges in JavaScript call graphs. figure 1 gives an overview of our technique. Given a program, a static call graph builder enhanced to also export static flow graphs (see Section 2.2), and a harness for exercising the program, our technique automatically finds *missing flows*, data

¹ A recent Stack Overflow developer survey shows popularity of these frameworks is growing, with total usage surpassing older libraries like jQuery [56].

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⁶⁷ flows of function values that occur at runtime but are not modeled by the static analysis.
⁶⁸ Our technique associates a set of missing flows with each missed call graph edge, thereby
⁶⁹ indicating which data flows must be handled by the static analysis to discover the missed
⁷⁰ edge. The technique correctly accounts for *inter-dependent calls*, where a call graph edge is

⁷¹ missing due to the absence of other call graph edges.

We further observe that given a missing flow, one can often automatically determine a *root cause label* for the flow, indicating which unhandled language construct(s) were responsible for the flow being missed. Such labeling can be performed at different levels of granularity, depending on what level of detail is desired by the analysis designer. Given logic to map missing flows to root cause labels, our technique automatically quantifies the prevalence of each root cause for the desired benchmarks.

We have implemented our techniques, and we used them to study the recall of two variants of the approximate call graphs (ACG) algorithm of Feldthaus et al. [25], as implemented in the WALA framework [58], on a suite of modern web applications. We found the root cause quantification to provide useful insights, in particular:

To our surprise, a large initial cause of low recall was the lack of models in WALA for a variety of built-in library functions. By adding models, we were able to increase recall by up to 5 percentage points.

After fixing the native models, dynamic property accesses were the largest root cause of low recall, at 70%. The second-largest root cause varied significantly across the benchmarks.

We applied a finer-grained root cause labeling for dynamic property accesses, and found that their property names are computed in a wide variety of ways, with no single dominant pattern. We studied the potential of a recently-described recall-improving technique for dynamic property accesses in Node.js programs [44], and found that it would at best have a small impact for our web-based benchmarks.

Our dynamic call graph and flow trace analyses were challenging to implement due to 93 JavaScript's hard-to-analyze language features. JavaScript includes many difficult-to-analyze 94 features, including (but not limited to) reflective call mechanisms, "native" library methods, 95 getter/setter methods, and dynamic code evaluation. Pragmatic static analyses often ignore 96 most of these features, as they do not aim for sound results. However, since we aimed to 97 study which calls were missed by such analyses and why those calls were missed, our dynamic 98 analyses had to faithfully capture the behavior of these features, and thereby incurred 99 significant additional complexity (see section 4.2). 100

All of our code and data is publicly available in an artifact [21]. Our infrastructure is reusable and could be applied to study other static analyses, other benchmarks, and other platforms (e.g., Node.js). Together, our infrastructure, methodology, and results can help guide the design of future analyses targeting real-world JavaScript code.

¹⁰⁵ **Contributions** This paper makes the following contributions:

We present a novel approach to quantifying the importance of language features causing low recall in JavaScript call graphs. The approach properly handles missing call graph edges with multiple root causes, and also inter-dependent calls, where an edge is missing due to the absence of another edge.

We describe implementations of a dynamic call graph and dynamic flow trace analysis of function values for JavaScript, both of which handle several hard-to-analyze JavaScript features. We present results and key observations from applying our techniques for the ACG algorithm [25] and a suite of framework-based web applications.

The remainder of this paper is organized as follows. Section 2 provides background, and Section 3 describes our dynamic analyses. Section 4 presents our technique for automatically discovering root causes for missing edges. Section 5 gives details of our implementation. Section 6 describes the setup of our study, and Section 7 presents our results. Section 8 discusses related work, and Section 9 concludes.

120 2 Background

We first give some background on challenges for JavaScript static analysis and on call graph construction.

123 2.1 JavaScript analysis challenges

JavaScript programs often pose particularly difficult challenges for static analysis. JavaScript
includes numerous dynamic and reflective language features that are difficult to analyze, and
unfortunately these features are used often in practice [49]. We briefly present such features
here; see previous work for detailed discussions (e.g., [30, 46, 49, 55]). Tricky features include:

Dynamic Property Accesses: JavaScript object fields, or *properties*, can be accessed using the syntactic form x[e], where e is an arbitrary expression evaluating to a string property name. Determining what memory locations may be accessed by an expression x[e] (fundamental to tracking data flow) can be a significant analysis challenge. Further, if e evaluates to a property name that does not exist on x, a write to x[e] *creates* the property rather than failing, making precise analysis even more challenging.

- **Eval:** JavaScript allows for evaluating arbitrary strings as code at runtime, most commonly via its eval construct or the Function constructor. This dynamically-evaluated code is known to pose significant problems for static analysis [30, 48].
- With: The with construct enables adding arbitrary variable bindings with a dynamicallyconstructed map [2]. As with eval, with usage complicates static analysis [46].

Getters and Setters: A JavaScript property may be defined such that accessing the
 property actually invokes a *getter* or *setter* method with custom logic [12]. This feature
 makes it difficult to precisely identify the program locations where a function call can
 occur.

Reflective Calls: JavaScript provides reflective methods to pass function parameters
 in flexible ways, e.g., binding the this parameter explicitly or passing arguments in an
 array [13]. Also, any function may read its formal parameters via a special arguments
 array, enabling variadic functions. Finally, any function may be legally invoked with any
 number of parameters, independent of how many formal parameters it declares. Together,
 these features complicate tracking of inter-procedural data flow.

Native Methods: JavaScript and the web platform provide a large standard library
 whose implementation is typically opaque to static analysis; hence, models must be
 constructed for a large number of these "native" methods.

¹⁵² While these root causes of difficult analysis are well known, our techniques enable ¹⁵³ measurement of their *relative* impact on call graph recall for a set of target benchmarks.

¹⁵⁴ 2.2 Call graph construction

In a static call graph, nodes represent program methods, and an edge from a to b means that 155 a may invoke b at runtime.² The utility of a computed call graph CG can be measured in 156 terms of *precision* and *recall*. Precision measures the number of infeasible edges in CG (edges 157 for calls that cannot occur in any execution), while recall measures the number of feasible 158 call edges (those that can occur in some execution) missing from CG. Recall will be 100% 159 for any sound call graph construction technique, but as noted in Section 1, many practical 160 techniques sacrifice soundness for improved scalability and precision. It is undecidable to 161 compute the "ground truth" of possible calls for an arbitrary program, required to measure 162 precision and recall perfectly. Our evaluation (and previous work [25, 44, 51, 57]) proceeds 163 by exercising benchmarks using a best-effort process and then studying recall using the 164 measured dynamic behaviors. 165

Static Flow Graphs Our technique also relies on obtaining a *static flow graph* from the 166 static call graph analysis, to determine what dynamic data flow of function values was missed 167 by the static analysis (see Figure 1 and further discussion in Section 4). In a flow graph, 168 each node represents either a memory location (variables, object properties, etc.), a function 169 value, or a call sites. Edges in the flow graph are defined as follows: if the call graph analysis 170 determines that a function value may be read from (abstract) memory location m_1 and 171 then written to location m_2 (i.e., it may be directly copied from m_1 to m_2), the static flow 172 graph should include an edge from m_1 to m_2 . So, flow graph edges should capture observed 173 assignments of function values into variables and object properties, and passing of function 174 values as parameters or return values to capture inter-procedural data flow. Additionally, for 175 a call $m_i(...)$, the flow graph should contain an edge from m_i to a "callee" node for the call 176 site (see example below). With this construction, the static call graph should have an edge 177 from call site s to function f iff there is a path from f to the callee node for s in the flow 178 graph. 179

Graph representations are standard in analyses that track data flow [54]. Further, any realistic JavaScript call graph construction algorithm must track function data flow, as JavaScript provides no basis for a cheaper technique (functions cannot be coarsely matched to possible call sites using types or even function arity). Hence, we expect extraction of flow graphs from JavaScript call graph analyses will be straightforward.

Example Figure 2 gives a small running example for illustrative purposes. Line 4 creates an object with two fields MyName and MyPhone, respectively holding functions f1 and f2. Line 5 reads and invokes f1 using a *static* property access (the property name is syntactically evident), whereas line 6 reads and invokes f2 using a dynamic property access.

Figure 3 shows the flow graph constructed by a variant of the call graph builder we 189 study [25] for the Figure 2 example. Edges represent the possible flow of function f1 to the 190 variable v1, then the object property MyName, and finally the call at line 5. Given this path, 191 the static call graph includes an edge from main to f1. In contrast, the edge from the MyPhone 192 property node to the call on line 6 is missing in Figure 3, due to the dynamic property access. 193 Our approach can determine that this missing flow graph edge leads to a missing main-to-f2 194 edge in the call graph, and further reason that a dynamic property access is the root cause 195 of the missed edge. 196

 $^{^{2}}$ The call graph also includes information on which instruction in *a*, or *call site*, may invoke *b*.

```
1
   function main() {
2
     var v1 = function f1() { return "John"; }
3
     var v2 = function f2() { return "555-1234"; }
     var obj = { MyName: v1, MyPhone: v2 };
4
5
     obj.MyName();
6
     obj["My" + "Phone"]();
   }
7
8
   main();
```

Figure 2 Small example to illustrate our techniques.



Figure 3 Flow graph for Figure 2. The red dashed edge is missing from the graph.

¹⁹⁷ **3** Dynamic Analyses

Our technique uses dynamic analyses to determine calls and data flows of function values occurring in executions of a program; this information is then compared with that in the static call graph and flow graph to detect missing flows (see Section 4). Here we describe the dynamic analyses at a high level; we discuss implementation challenges related to complex JavaScript language constructs (such as those listed in Section 2.1) in Section 5.

Dynamic Call Graphs A dynamic call graph captures the calls that occurred in an execution 203 (or set of executions) of a program. As with static call graphs, nodes represent program 204 methods and edges represent invocations between methods. At a high level, constructing dy-205 namic call graphs only requires recording the actual functions invoked at each call instruction 206 in some suitable data structure, and this type of analysis has been built many times before, 207 including for JavaScript [29]. However, our analysis goes further by exposing call-related 208 behaviors of some of the tricky JavaScript constructs outlined in Section 2.1, crucial for a 209 more complete understanding of static call graph recall. 210

Dynamic Flow Traces Beyond dynamic call graphs, our technique requires dynamic flow traces to find gaps in the data flow reasoning of static call graph builders. A dynamic flow trace logs all data flow and invocation operations performed on function values. The trace includes an entry for each creation of a function value (e.g., an expression function () { ... }) and for each function call. It also includes an entry for each read or write of a function value to or from a variable or object property.

As an example, here is an excerpt of the dynamic flow trace for the code in Figure 2 (some details elided):

- 219 CREATE(**f1,2**); VARWRITE(**v1,f1,2**);
- 220 CREATE(**f2,3**); VARWRITE(**v2,f2,3**);
- 221 VARREAD(v1,f1,4); PROPWRITE(MyName,f1,4);

222 VARREAD(v2,f2,4); PROPWRITE(MyPhone,f2,4);

PROPREAD(MyName,f1,5); INVOKE(f1,5);

Each entry includes information on the function value being accessed and the location of the access (here, line numbers). For property accesses, our traces only record the accessed property name, as the call graph techniques we studied in our evaluation do not distinguish base objects of accesses. The trace could easily be extended to include base object identifiers if needed to study other analyses.

For handling of higher-order functions, the trace includes entries for parameter passing and returns of function values. A call passing a function as a parameter is treated as a "write" of a parameter variable, which can be read via the formal parameter in the callee. For returns, a return statement "writes" a special variable associated with the function's return value, which is "read" at the corresponding call site.

²³⁶ **4** Missing Flow Detection

In this section, we describe our technique for discovering the *missing flows* explaining why a static call graph is missing an observed dynamic call graph edge. See Figure 1 for our overall architecture. Given a dynamic flow trace for a program, we first post-process the trace to discover the relevant *dynamic copies* for a function call (Section 4.1). Then, our technique matches these dynamic copies to the static flow graph, and automatically computes the missing flows relevant to each missing call edge (Section 4.2).

4.1 Finding Relevant Dynamic Copies for a Call

Given a dynamic flow trace and an invocation of function f at a call site, our technique 244 computes the dynamic copies by which f was invoked at the site. Dynamic copies capture 245 data flow of function values at runtime—they are the dynamic analogue of the possible data 246 flow captured in a static flow graph (Section 2.2). A dynamic copy captures one of three 247 operations on function values: (1) the value is *created* and then stored in some memory 24 location; (2) the value is *copied* from one memory location to another; and (3) the value is 249 read from a location and *invoked*. By computing the relevant dynamic copies for a particular 250 call, our technique can expose which data flows may have been missed by the static analysis. 251 Pseudocode for finding relevant dynamic copies appears in Algorithm 1. We use sub-252 scripted t variables for trace entries. Given an entry t_c for a call invoking function f in trace 253 T, FINDDYNAMICCOPIES computes a list C of the relevant dynamic copies, starting at the 254 creation of f and ending at the call. Each dynamic copy is represented in the form $t_{r'} \xrightarrow{t_w} t_r$, 255 read as: the function was read from memory by $t_{r'}$, and then copied to the memory location 256 read by t_r , via write t_w . The algorithm proceeds backwards through the trace, starting at t_c 257 and reconstructing step-by-step how f was copied through memory to reach the call site. 258

Algorithm 1 first finds the read or create operation t_r for f most closely preceding t_c in the trace (line 3), corresponding to evaluation of e in an invocation e(...).³ C is then initialized with $t_r \xrightarrow{invoke} t_c$, with the *invoke* label indicating this is not a true copy, but instead the invocation of f.

²²⁴ PROPREAD(MyPhone,f2,6); INVOKE(f2,6);

³ In certain corner cases, the closest preceding operation may not be the correct one; we discuss further under Limitations.

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	Algorithm 1 Finding dynamic copies for a call.
1:	procedure FINDDYNAMICCOPIES (T, t_c)
2:	$f \leftarrow \text{function invoked by } t_c$
3:	$t_r \leftarrow \text{PrecedingReadOrCreate}(T, t_c, f)$
4:	$C \leftarrow [(t_r \xrightarrow{invoke} t_c)]$
5:	while t_r is not a CREATE operation do
6:	$t_w \leftarrow \text{MatchingWrite}(T, t_r, f)$
7:	$t_{r'} \leftarrow \text{PrecedingReadOrCreate}(T, t_w, f)$
8:	$C \leftarrow (t_{r'} \xrightarrow{t_w} t_r) :: C$
9:	$t_r \leftarrow t_{r'}$
10:	end while
11:	$\mathbf{return} \ C$
12:	end procedure
13:	procedure MATCHINGWRITE (T, t_r, f)
14:	if t_r reads variable x then
15:	return PrecedingVarWrite (T, t_r, f, x)
16:	else if t_r reads property prop then
17:	return PrecedingPropWrite $(T, t_r, f, prop)$
18:	else if t_r reads formal p of function f' then
19:	// preceding invoke of f' passing f to p
20:	return PrecedingInvoke (T, t_r, f', f, p)
21:	else if t_r is return value of call to f' then
22:	// preceding return of f from f'
23:	return PRECEDINGRETURN (T, t_r, f', f)
24:	end if
25:	end procedure

The loop at lines 5–10 discovers relevant dynamic copies by matching writes and reads 263 backward in the trace. First, Line 6 finds the closest-preceding write operation t_w that 264 updated t_r 's location, using the MATCHINGWRITE procedure. MATCHINGWRITE's logic 265 proceeds in cases, handing variables, object properties, formal parameters, and return values 266 in turn. For a read of property *prop*, the pseudocode matches with the most recent write to 267 prop on any object, matching the heap abstraction used by the call graph builder variants 268 we study (see Section 6.1). For more precise call graph algorithms, the logic could easily be 269 updated to also match the exact base object used in the property read operation. Once the 270 matching write t_w is discovered, line 7 finds the closest-preceding read or create $t_{r'}$, which 271 "produced" f for the write, and prepends a dynamic copy $t_{r'} \xrightarrow{t_w} t_r$ to C. 272

As an example, consider the call to f2 on line 6 in Figure 2. Here are the relevant trace entries for that call visited by Algorithm 1:

275 CREATE(**f2,3**); VARWRITE(**v2,f2,3**);

276 VARREAD(v2,f2,4); PROPWRITE(MyPhone,f2,4);

277 PROPREAD(MyPhone,f2,6); INVOKE(f2,6);

Starting from the INVOKE entry, the closest preceding read of f2 is the PROPREAD of MyPhone on line 6. So, C is initialized with PROPREAD(MyPhone,f2,6) \xrightarrow{invoke} INVOKE(f2,6). The matching PROPWRITE for the read occurs on line 4, and its closest preceding read of f2 is the VARREAD on line 4. Hence, we prepend a dynamic copy VARREAD(v2,f2,4) $\xrightarrow{t_{w_1}}$ PROPREAD(MyPhone,f2,6), where $t_{w_1} = \text{PROPWRITE}(\text{MyPhone},f2,4)$. Proceeding similarly, we reach the creation point of f2 on line 3, prepend a dynamic copy CREATE(f2,3) $\xrightarrow{t_{w_2}}$ VARREAD(v2,f2,4), where $t_{w_2} = \text{VARWRITE}(v2,f2,3)$, and terminate.

286 Limitations

Algorithm 1 assumes that the most-closely-preceding read of a function f in the trace matches the subsequent write or invocation involving f. In rare cases with parameter passing, this assumption may not hold, e.g.:

```
290
291
1 function foo(p, q) { p(); }
292
2 function bar() {}
293
3 var x = bar;
294
4 var y = bar;
295
5 foo(x, y);
```

Assume we are trying to discover the dynamic copies for the call to bar on line 1. Here is the relevant excerpt of the flow trace:

```
299 ...; VARWRITE(x,bar,3); VARWRITE(y,bar,4); VARREAD(x,bar,5);
```

```
300 VARREAD(y,bar,5); INVOKE(foo,5); VARREAD(p,bar,1); INVOKE(bar,1);
```

For the final INVOKE of **bar**, the closest-preceding read is of formal parameter **p**. The matching "write" is the INVOKE of **foo** on line 5. From here, the closest-preceding read of **bar** is from variable **y**, which is *not* the parameter that gets passed in **p**'s position. Hence, the analysis will discover an infeasible dynamic copy from the read of **y** to the read of **p**. This simple case could be handled by using source locations during matching, but in cases involving recursion, dynamic call stacks would also need to be tracked. We did not observe this behavior in any of our benchmarks, so we chose to employ the simpler technique of Algorithm 1.

In some cases, the dynamic flow trace may be missing entries relevant to dynamic copies, due to JavaScript features like native methods and with (Section 2.1) and also implementation limitations; see Section 5 for details. In such cases, our algorithm returns the subset of the relevant dynamic copies that it is able to reconstruct, and if possible notes a reason for its failure to find all copies.

4.2 Flow Graph Matching

Given relevant dynamic copies for a call c missed in the static call graph (discovered based 314 on comparison with the dynamic call graph), we identify the missing flows for c by matching 315 the dynamic copies to the static flow graph extracted from the call graph builder. (Section 2 316 described static flow graphs, and Figure 3 gave an example.) Algorithm 2 gives pseudocode 317 for finding missing flows in a static flow graph. The routine FINDMISSINGFLOWS takes as 318 inputs a list of dynamic copies C produced by FINDDYNAMICCOPIES in Algorithm 1, a static 319 call graph CG, and the corresponding static flow graph FG. Its result is a set of missing 320 flows R, where each missing flow is one of three types: (1) Missing FGNode, indicating a node 321 is missing in the flow graph, (2) MissingFGPath, indicating a path is missing in the flow graph, 322 and (3) DependentCall, for when the absence of a flow is due to the absence of another call in 323 the call graph. 324

For a dynamic copy $t_{r'} \xrightarrow{t_w} t_r$, the algorithm first tries to identify corresponding flow graph nodes fgSrc and fgDst (lines 4 and 5). In most cases, this matching is straightforward, done either by matching code entities or matching an accessed memory location to the flow graph node that abstracts it (we elide the details). In some cases, the flow graph may not have a matching node, e.g., due to use of eval or due to an unmodelled property name from a dynamic property access. In such cases, we record an MissingFGNode entry in the result (lines 6–11).

If flow graph nodes fgSrc and fgDst are discovered, we next check for a *path* from fgSrcto fgDst in the flow graph (line 12). We must check for a path, rather than just an edge,

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```
1: procedure FINDMISSINGFLOWS(C, CG, FG)
         R \leftarrow \emptyset
 2:
         for each dynamic copy t_{r'} \xrightarrow{t_w} t_r \in C do
 3:
             fgSrc \leftarrow FLOWGRAPHNODE(FG, t_{r'})
 4:
 5:
             fqDst \leftarrow FLOWGRAPHNODE(FG, t_r)
 6:
             if fqSrc = null then
 7:
                  R \leftarrow R \cup \mathsf{MissingFGNode}(t_{r'})
             end if
 8:
 9:
             if fgDst = null then
                  R \leftarrow R \cup \mathsf{MissingFGNode}(t_r)
10:
             end if
11:
12:
             if fqSrc \neq \text{null} \land fqDst \neq \text{null} \land \text{NoPATH}(FG, fqSrc, fqDst) then
13:
                  R \leftarrow R \cup \mathsf{MissingFGPath}(fqSrc, fqDst, t_{r'}, t_w, t_r)
             end if
14:
             if t_w is a call then
15:
                  f \leftarrow function invoked by t_m
16:
                  if MISSINGFROMCG(CG, t_w, f) then
17:
                      R \leftarrow R \cup \mathsf{DependentCall}(t_w, f)
18:
                  end if
19:
             end if
20:
         end for
21:
         return R
22:
23: end procedure
```

Algorithm 2 Finding missing flows in a flow graph for a call.

since the static analysis may use temporary variables and assignments not present in the
source code. If no path is discovered, we note a MissingFGPath entry, retaining information
about the dynamic copy to facilitate root cause labeling.

As an example, consider again the call to f2 in Figure 2, and the corresponding dynamic copies described in Section 4.1. In the Figure 3 flow graph for the code, there are matching nodes for all the copy locations, but there is no path matching the final copy PROPREAD(MyPhone, f2, 6) \xrightarrow{invoke} INVOKE(f2, 6). So, the single missing flow computed for this case is a MissingFGPath entry with the details of this dynamic copy. Given this information, a root cause labeler can discover that the flow was missed due to the dynamic property access; see Section 6.2.

Dependent calls Lines 15–20 handle *dependent calls*, where a path corresponding to a
 parameter passing or return dynamic copy is missing from the flow graph due to *some other* missed call. Consider this example:

```
347
348 1 function f() { ... }
349 2 var x = { foo: function f2() { return f; } };
350 3 var y = x["fo"+"o"]();
353 4 y();
```

For the optimistic ACG call graph algorithm we use in our evaluation (see Section 6.1), the calls to f2 at line 3 and to f at line 4 will be missing in the call graph. When finding missing flows for the line 4 call, a missing path for the function return dynamic copy at line 3 is discovered. However, the issue with the analysis is not that it does not model returns of function values; this flow was missed *because* the call target at line 3 was missed, so no flow could be discovered from the appropriate callee. Our discovery of missing flows must account for such cases, to enable accurate quantification of root causes.

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To handle dependent calls, Algorithm 2 checks at line 15 if the "write" operation for the copy was a call. (Recall from Section 3 that calls are treated as the writes for parameter passing or function returns.) If so, and if the static call graph is missing the relevant target for the call (line 17), we add a DependentCall missing flow to the result (line 18).

When counting the frequency of root causes, for dependent calls, we *reuse* the root causes for one call as the root causes for the other. For the example above, the dynamic property access at line 3 is identified as the single root cause for the missing calls at lines 3 and 4. All results presented in Section 7 precisely account for dependent calls.

Root Cause Labeling Given a set of missing flows, quantification of root cause prevalence requires attributing a *root cause label* to each missing flow. The root cause labels may be specific to the call graph construction algorithm being studied, and must be developed with knowledge of the soundness gaps in the algorithm. Additionally, root cause labeling may be performed with different levels of granularity, depending on what information is required by the analysis developer. In Section 6.2, we discuss the root cause labeling strategies used in our example study of the ACG call graph algorithm [25].

375 **5** Implementation

Dynamic analyses We implemented our dynamic call graph (DCG) and dynamic flow 376 trace analyses (Section 3) atop the Jalangi framework [53],⁴ which leverages source code 377 instrumentation. While this instrumentation approach is more maintainable and portable 378 than the alternatives, a downside is that the semantics of certain language constructs are not 379 exposed in a straightforward way at the source level. In spite of source code instrumentation's 380 limitations, one of its primary advantages is that it does not require modification of a 381 JavaScript engine. Production JavaScript engines in browsers are challenging to modify, for 382 two reasons: (1) they have complex implementations, so any change will require considerable 383 engineering effort; and (2) they evolve rapidly, making it difficult to maintain an analysis. 384 We use Jalangi² to instrument JavaScript programs with our analysis code because it is easy 385 to maintain and can work across different JavaScript engines. The tool allows us to perform 386 analyses even when certain fragments of the source code are not instrumented. Our analyses 387 contain significant extra logic to capture the behavior of several hard-to-analyze constructs 388 as accurately as possible, despite the limitations of source instrumentation. 389

As an example, our DCG analysis exposes many callbacks from "native" library functions. Such callbacks occurred regularly in the benchmarks used in our study, e.g., using Function. prototype.call, as shown in this small example:

```
      394
      1
      function foo() { }

      395
      2
      foo.call(this);
```

393

Line 2 invokes foo via call, but Jalangi does not expose the invocation directly, as it cannot instrument call. Instead, Jalangi exposes the invocation of call, followed by the start of execution in foo, but with no explicit invocation of foo. To handle such cases, our DCG analysis maintains its own representation of the call stack. Upon invocation of a native method, a marker for the method is pushed on the call stack. Then, at the entry of a (non-native) method, if the top of our call stack is a native method marker, we record the fact that a native callback occurred. For the above case, the dynamic call graph will include

⁴ We use version 2 of Jalangi, available at https://github.com/Samsung/jalangi2.

⁴⁰⁴ an invocation of the call native method at line 2, and also an invocation of foo from call, ⁴⁰⁵ as desired.⁵

Our DCG analysis also exposes getter and setter calls, and calls to and from dynamicallyevaluated code. For getters and setters, the analysis detects their presence via a library API [1]. If a getter or setter is detected at a property access, it is treated as a call site and the call edge is recorded. We leverage Jalangi's built-in support for dynamic code evaluation via eval or new Function; the relevant code string gets instrumented at runtime, so our analysis has visibility into calls into or out of such code.

Our dynamic flow trace analysis also includes special handling of some challenging JavaScript features. The analysis distinguishes getters and setter calls using specially-marked INVOKE entries, to enable tracking getter and setter use as a root cause. For uses of the arguments array to access parameters, we generate relevant property write entries at a function entry as "synthetic" entries (not corresponding to explicit source code). To handle eval-like constructs, any trace entry from the evaluated code includes a special source location marking it as from code executed via eval.

JavaScript has a very broad set of features and native methods requiring special handling. 419 and our dynamic analyses still do not model all such features. For the flow trace analysis, in 420 certain cases a property write or read occurs in an unmodelled native method, and hence 421 is missed in the trace. The analysis generates special entries to model memory accesses 422 performed by commonly-used library methods, such as **push** and **pop** on arrays. We have not 423 fully modeled all reflective constructs like Object.defineProperty [14]. Also, use of the with 424 construct can thwart our technique, as it is not fully supported by Jalangi. (We note that all 425 relevant uses of with in our benchmarks appeared within an eval construct.⁶ posing a severe 426 challenge for static analysis.) 427

In terms of performance, we implemented some optimizations to reduce the size of the dynamic flow trace for larger benchmarks. First, we limited tracing to only those function values that could be involved in a missing edge in the static call graph, based on the creation site of the function. Second, we track a unique identifier for each function value using Jalangi's shadow memory functionality, and once the call site with the missing static call graph edge executes, we disable flow tracing for the corresponding value.

To generate dynamic call graphs and flow traces, we exercised our benchmarks manually
and recorded the actions as Puppeteer [15] automation scripts to allow for repeatable runs;
Section 6.3 details the coverage obtained for benchmarks in our study.

Missing Flow Detection The missing flow detection algorithms of Section 4 are implemented 437 in 1154 lines of Python code. For the most part, detecting missing flows in the static flow 438 graph given a dynamic flow trace was straightforward. Some effort was required to match 439 source locations provided by WALA [58] for JavaScript constructs (our use of WALA is 440 detailed in Section 6.1) with what was observed by the dynamic analyses. In the process 441 of ensuring this matching was precise, we contributed a couple of fixes to WALA, and also 442 found and fixed a longstanding issue with incorrect source locations in the Rhino JavaScript 443 parser [5].⁷ 444

⁵ Our technique does not yet precisely handle cases with multiple levels of native calls, such as Array. prototype.map.call(...); we plan to add further modeling for such cases in the future.

⁶ For example, see this code from the Knockout framework: https://tinyurl.com/1jxtrpz3

⁷ https://github.com/mozilla/rhino/pull/809

445 **6** Study Setup

Here, we detail the setup of our study of root causes of missed call graph edges for frameworkbased web applications. We describe the ACG call graph algorithm used in our study
(Section 6.1), describe how we performed root cause labeling for this algorithm (Section 6.2),
and then present our benchmarks and how they were exercised (Section 6.3).

We note that the main purpose of our study was to show the potential of our techniques to give useful insights on the relative importance of different root causes for missed static call graph edges. We do *not* claim that the results for the benchmarks used in our study will generalize to any broad class of framework-based web applications. A study of a wider variety of benchmarks, to obtain generalizable insights on root causes across JavaScript applications, is beyond the scope of this work.

456 6.1 The ACG algorithm

In our evaluation, we studied variants of the approximate call graph (ACG) algorithm of 457 Feldthaus et al. [25]. The ACG algorithm was designed to entirely skip analysis of many 458 challenging JavaScript language features, while still providing good precision and recall for 459 real-world programs. ACG leverages the insight that many dynamic property accesses in 460 JavaScript are correlated [55], with a paired dynamic read and write used to copy a property 461 from one object to another. By using a *field-based* handling of object properties [28] (treating 462 each property as a global variable), ACG could ignore dynamic property accesses entirely 463 and still provide good recall, assuming most accesses are correlated. 464

Feldthaus et al. [25] describe *pessimistic* and *optimistic* variants of ACG, differing in their handling of inter-procedural flow. Pessimistic ACG only tracks data flow across procedure boundaries in limited cases, whereas optimistic ACG performs full inter-procedural tracking. We performed root cause quantification for both variants in our study.

Our study uses the open-source implementation of ACG in WALA [58]. This implemen-469 tation directly builds a flow graph during call graph building, which we serialize alongside 470 the computed call graph. The WALA implementation also includes partial handling of the 471 call and apply reflective constructs for parameter passing [13]. In the optimistic variant, 472 interprocedural flow is handled fully for call, but only return values are handled for apply 473 (as it passes parameters via arrays, which is hard to analyze). We confirmed via inspection 474 that the WALA implementation of ACG has no handling of getters and setters, eval, and 475 with. 476

477 6.2 Root Cause Labeling

We implemented root cause labeling for missing flows based on the gaps we observed in the WALA implementation [58] of the ACG algorithm [25]. For a different algorithm or implementation, some different root causes may be required, but we expect significant overlap, as several root causes pertain to challenging language features that many techniques handle unsoundly (e.g., eval). The referenced root cause names are also used when discussing their prevalence in Section 7.2.

For MissingFGNode (see section 4.2), in some cases, there is no node representing the creation of a function value in the flow graph. If the function was from the standard library, we assigned the label "Call to unmodelled native function," as WALA was likely missing a model for the function. In cases where the function was created via a call to new Function (unhandled by the ACG implementation), we assigned the label "Creation via Function constructor."

In other MissingFGNode cases, the node representing the call site itself is missing. For this case, a common root cause label is "Call to getter/setter," as getters and setters are not modeled by ACG. Also, the "Calls from unmodelled native functions" label captures cases where an unmodeled native function calls back into application code. Finally, for a dynamic property access, if the property name is never used as part of a non-dynamic property access, the flow graph may not have a node for the property, in which case we use the label "Dynamic Property Access."

For MissingFGPath, one possible root cause is "Dynamic Property Access," which can be identified by the corresponding dynamic reads / writes. For the pessimistic ACG variant, paths may be missing since the algorithm does not model passing function values as parameters or returning function values; we use the labels "Parameter Pass" and "Function return" for these scenarios. For both ACG variants, the "Parameter Pass" label is also used to reflect passing of parameters in an array via Function.prototype.apply.

In the case of dynamically-evaluated code (the "Use of Eval" and "Eval via new Function" 503 labels), many relevant nodes may be missing from the static flow graph. We assign an 504 appropriate root cause in these cases by recording in the flow trace which events occurred in 505 dynamically-evaluated code (Section 5). Note that we *prioritize* the eval-related root causes 506 over others; e.g., if there is a relevant dynamic property access in eval'd code, we will assign 507 the eval-related root cause, even though it is possible the analysis also could not handle the 508 property access. We chose this labeling due to the high difficulty of handling eval constructs 509 510 in static analysis; for an analysis with significant support for eval a different choice may be appropriate. 511

Finally, as noted in Section 4.1, in certain cases we cannot compute all dynamic copies for a call. For these cases, our technique makes a base-effort attempt to assign an appropriate root cause label. "Call to bounded function" captures missing handling of the Function .prototype.bind feature [13]. The "Multiple levels of native functionality" label captures cases where native methods are invoked reflectively (see Footnote 5). Finally, we identify the "Use of With" root cause by tracing objects used in with statements and identifying when an unmatched variable corresponds to a with object property.

As Section 7.2 will show, dynamic property accesses are the most frequent root cause of missing call graph edges for our benchmarks. To further understand these root-cause accesses, we also implemented a finer-grained labeling for them, based on the expression used for the property name. This more granular labeling is described in Section 7.3.

523 6.3 Benchmarks and Harness

For benchmarks, our study used several programs from the TodoMVC suite [17]. TodoMVC contains many implementations of a simple web-based todo list application, with each implementation using a different web framework or language. The suite is designed to help developers compare different model-view-controller (MVC) frameworks. Because the suite contains idiomatic implementations of the same functionality across frameworks, it provides an opportunity to compare sources of missing call graph edges across frameworks.

To test with a larger web application, we also included OWASP Juice Shop [3], an AngularJs-based program that is a standard benchmark for security analyses. Counting the size of framework / library code for Juice Shop is difficult, as the code base does not clearly separate third-party code used as part of the web site from libraries used only to deploy the site; we conservatively estimated the framework / library code to be greater than 50 kLoC.

	Total LoC	Application LoC	Framework/ Library LoC	Application Stmt. Coverage
AngularJs	12091	256	11835	81.08%
Backbone	9003	216	8787	99.74%
KnockoutJs	1044	129	915	98.98%
KnockbackJs	15836	199	15637	99.73%
CanJs	11371	129	11242	100%
React	24855	383	24472	99.21%
Mithril	1433	252	1181	99.61%
Vue	7667	124	7543	97.73%
VanillaJs	751	561	190	98.10%
jQuery	9526	171	9355	99.59%
Juice Shop	>65000	15092	>50000	36%

 Table 1 Benchmark Statistics.

Table 1 gives statistics for our benchmarks. The TodoMVC benchmarks are named based on the web framework that they use. The TodoMVC applications range from 751–24,855 lines of code, with framework sizes varying widely. We chose all eight of the JavaScript-frameworkbased implementations that worked with our infrastructure.⁸ We also chose VanillaJS, which does not use any framework,⁹ and jQuery, for comparison purposes.

To exercise the TodoMVC applications, we wrote a harness to cover as much application code as possible, and in the end our script achieved application code statement coverage of 97% or higher for nearly all benchmarks. We studied all uncovered code manually, and found that it was either dead code or could not be exercised in a single run of the application (e.g., for the AngularJs version, a small amount of code would only run if the app were used and then restarted in offline mode).

For Juice Shop, we were unable to exercise the application beyond fully completing its initial loading, explaining the significantly lower code coverage. Our infrastructure ran into scalability issues for deeper runs of Juice Shop, which we hope to fully address in the near future. Still, simply loading Juice Shop exercised a large amount of code (its flow trace was nearly 5 times larger than any fully-exercised TodoMVC benchmark), making a study of missed call edges for the loading portion of the execution interesting on its own.

In terms of running times for our tools, dynamic call graph and flow trace collection 552 each took between 30 and 60 seconds for each TodoMVC benchmark, varying based on the 553 amount of code executed; this overhead is comparable to previous Jalangi-based dynamic 554 analyses [53]. Missing flow detection (Section 4) took time proportional to the size of the flow 555 trace, ranging from around half a second (for VanillaJS) to around 10 minutes (for React). 556 Overall running time for Juice Shop was much longer (more than an hour total) due to its 557 size and the aformentioned scalability bottlenecks it exposed. We expect the missing flow 558 detection times could be reduced significantly with a more optimized implementation. 559

⁸ Some implementations used newer JavaScript language features not yet supported by Jalangi.

⁹ All implementations use a common base JavaScript library, accounting for the library code in VanillaJS.

560 7 Results

In this section, we present results from performing root cause quantification for our bench-561 marks. The results show that our quantification techniques can provide interesting insights 562 into the relative prevalence of different root causes for missing call graph edges. We first 563 give recall measurements for our benchmarks using multiple metrics in Section 7.1. Then, 564 we discuss the top root cause labels for missed call graph edges in Section 7.2 and insights 565 gained from this data. Finally, we discuss results from performing a finer-grained labeling 566 of missing flows related to dynamic property accesses (the most prevalent root cause) in 567 Section 7.3. 568

569 7.1 Recall Measurements

We measured call graph recall for our benchmarks by comparing the ACG static call graphs with our collected dynamic call graphs. We first describe our methodology, and then present results. We also measured call graph precision for all benchmarks, but as our new techniques focus on root causes for low recall, we do not discuss the precision results here; they are presented in an extended version of the paper [22].

575 **Methodology** We used three different metrics to measure recall, suited to different client 576 scenarios:

Call site targets: the set of targets at each call site present in the dynamic call graph.
 This metric was used in the original ACG paper [25]. Recall is computed for each call site, and then averaged across call sites to produce recall for a benchmark. This metric is most relevant to clients like code navigation in an IDE.

Reachable nodes: the set of reachable methods, where roots are the entrypoints in the dynamic call graph. This metric has been used in previous work [57], and is relevant to clients like dead-code elimination.

Reachable edges: the set of call graph edges whose source method is present in the
 dynamic call graph. This metric is most relevant to clients doing deep inter-procedural
 analysis like taint analysis [26].

⁵⁸⁷ Given our collected data, we studied the following research questions:

RQ1: How does recall vary across the three metrics?

589 **RQ2:** How does recall vary across benchmarks?

Results Figure 4 gives detailed recall results for WALA's original ACG implementation for each TodoMVC benchmark, with results for the pessimistic variant in Figure 4a and for optimistic in Figure 4b. Average recall across the TodoMVC benchmarks is shown in Figure 5.

For RQ1, the data show that recall of ACG tends to suffer with more exacting metrics. The ACG paper [25] used the call site targets metric, and showed that both precision and recall were typically above 80% for their benchmarks. Figure 5 shows that for our benchmarks, while recall is above 80% for this metric for both the optimistic and pessimistic variants, recall decreases for the more exacting metrics, particularly for pessimistic analysis.

For RQ2, Figure 4 shows that recall can vary widely across benchmarks. In Section 7.2 we dig further into these differences, showing that root causes for low recall can also vary across the benchmarks. For the TodoMVC React benchmark, recall is very high for the optimistic analysis but quite low for pessimistic. In this case, the high recall for optimistic







⁽b) Optimistic ACG.

Figure 4 Detailed recall results for our three metrics across the benchmarks.

analysis comes at a cost of very low precision (less than 5% for reachable edges; see the extended version of the paper [22] for full details). We suspect that some initial imprecision spirals out of control for optimistic analysis for React, leading to poor precision. Previous work studied diagnosing imprecision root causes [20, 35, 60]; such a study is out of scope here. However, improving recall can lead to reduced precision, and this tradeoff must be minded when devising solutions to improving recall.

For Juice Shop, only the pessimistic ACG variant could run to completion; optimistic ACG could not complete within 64GB of memory. Pessimistic ACG missed 15,060 edges that were present in the dynamic call graph. Since our coverage for Juice Shop was significantly lower than the other benchmarks (see section 6.3), we do not quantify the precision and recall of pessimistic ACG for the benchmark, nor do we include it in aggregate statistics.

614 7.2 Root Cause Quantification

⁶¹⁵ We present illustrative results from applying our techniques to quantify prevalence of root ⁶¹⁶ causes for missing call graph edges for our benchmarks. Space does not allow a full presentation



Figure 5 Average recall across benchmarks for original WALA ACG implementation.



Figure 6 Original root causes for optimistic ACG across TodoMVC, before WALA improvements.

- ⁶¹⁷ of all results; all experimental data is available in our artifact [21]. Here we focus on the ⁶¹⁸ following questions:
- 619 **RQ3:** What are the most common root causes for missed call graph edges?
- 620 **RQ4:** Does the relative importance of root causes vary across benchmarks?
- 621 We compute root causes for each individual missed call edge in the static call graph,
- ⁶²² corresponding to the "Reachable edges" metric used to measure recall in Section 7.1. The
- ⁶²³ color legend for the pie charts appears below Figure 8.
- ⁶²⁴ Using data to improve recall Figure 6 shows the prevalence of different root causes across the TodoMVC benchmarks for the optimistic variant of the original ACG implementation in WALA. When studying these root causes, we were surprised to see that 24% of missed call edges were due to calls to unmodeled standard library functions. Based on this data, we modified WALA to include basic models of many of these native functions. This change



Figure 7 Improved root causes for ACG variants across TodoMVC, after WALA improvements.





Figure 9 Root causes for pessimistic ACG for Juice Shop.

⁶²⁹ improved average recall for the pessimistic analysis by 2 percentage points to 37% (by the
⁶³⁰ Reachable Edges metric); improvement for optimistic analysis was 5 percentage points, to
⁶³¹ 76%. These improvements show that quantifying root cause prevalence can guide an analysis
⁶³² developer to "quick wins" for improving analysis recall. The data in the remainder of this
⁶³³ section were computed using the improved version of WALA ACG.

Top root causes Turning to RQ3, Figures 7a and 7b respectively show top root causes for 634 pessimistic and optimistic ACG across the TodoMVC benchmarks (after improving WALA's 635 native models). Comparing the two, we see a key difference is that missed calls due to 636 functions being passed as parameters or returned (the "Parameter Pass" and "Function 637 return" labels) are significant root causes (totaling 74%) for pessimistic analysis but not 638 optimistic. This result makes sense, as the key difference between optimistic and pessimistic 639 ACG is that optimistic analysis tracks interprocedural flow of function values. Given that 640 74% of missed edges for pessimistic analysis are due to such interprocedural flows, it seems 641 the best approach to improving pessimistic recall for these benchmarks would be to model 642 some of these flows, rather than attacking other root causes. 643

The "Others" label covers a small number of cases (5% overall) where our current scripts cannot yet find a root cause. In addition to the unhandled constructs and cases described in Section 5, our automated reasoning failed in rare cases due to a bug in WALA ACG's handling of finally blocks. During our work, we identified two other WALA ACG bugs that were fixed by the maintainers. Overall, our techniques successfully handle more than 95% of the missing call edges for our benchmarks, and we will continue to improve our tools to reduce the number of unhandled cases.

Focusing in on figure 7a, we see that dynamic property accesses are by far the most prevalent root cause for optimistic analysis of TodoMVC benchmarks at 70%. We dig further into these property accesses with a finer-grained labeling in Section 7.3. The second-most prevalent root cause on average is "Eval via new Function" at 10%, but as we shall see next, the second-highest root cause varies significantly across benchmarks.



Figure 10 Finer-grained dynamic property access root causes for TodoMVC benchmarks.

Variance across benchmarks For RQ4, we use illustrative examples to show the variance 656 in root cause prevalence across benchmarks. Figures 8a-8c respectively show root causes 657 for the React, Angular, and Vue.js TodoMVC benchmarks, analyzed with optimistic ACG. 658 While the most-prevalent root cause for each of these benchmarks was dynamic property 659 accesses, the second-place root cause varies by benchmark: "Eval via new Function" is second 660 for React, "Call to bounded functions" for AngularJS, and "Call to getter / setter" for Vue. 661 This benchmark-specific data could provide valuable information to an analysis developer. 662 E.g., if the developer were primarily trying to improve recall for applications like the Vue 663 benchmark, it may be more worthwhile to improve handling of getters and setters than if 664 the applications were more similar to the React benchmark. 665

Figure 9 shows root causes for the larger Juice Shop benchmark (analyzed with pessimistic 666 ACG). Unfortunately, Juice Shop exercised gaps in our infrastructure's handling of tricky 66 JavaScript constructs more heavily, particularly in the dynamic flow trace analysis. So, we 668 could not compute proper root causes for 27% of missing call graph edges for Juice Shop. 669 Still, the remaining data is interesting, particularly when compared to the pessimistic results 670 for the TodoMVC benchmarks shown in Figure 7b. We see that handling returns of functions 671 seems to be relatively less important than for the TodoMVC benchmarks, whereas handling 672 of getters and setters is more important. Though making strong conclusions is difficult given 673 the number of uncategorized edges in this case, these preliminary data again show the ability 674 of our technique to expose benchmark-specific insights about causes of low recall. 675

To summarize, we have shown that our technique for quantifying root causes works across several benchmarks and can expose the most important root causes in aggregate and the differences between benchmarks. Since improving recall for JavaScript static analysis on real-world programs poses so many challenges, we expect improvements for specific types of benchmarks to prove worthwhile, and the data from our techniques can provide valuable guidance in how to do so.

7.3 Name Flow for Dynamic Property Accesses

Given the importance of dynamic property accesses as a root cause in Section 7.2, we 683 performed a finer-grained root cause labeling of these accesses. Our goal was to understand 684 better how property names are computed for these accesses, to see if some targeted handling 685 of the property name expressions could be useful. Recent work by Nielsen et al. [44] proposes 686 just such a technique for analysis of Node is code, via special handling of property name 687 expressions that concatenate a string constant prefix or suffix to some other expression. 688 We hoped to use root cause labeling to see if a similar technique could be effective for our 689 web-based benchmarks. 690

We implemented a simple intra-procedural analysis using WALA [58] to label each root-691 cause dynamic property access based on how data flows into its property name expression 692 (for an access x[e], e is the property name expression). Aggregate results appear in Figure 10; 693 our artifact has the complete data [21]. As shown in Figure 10, property names for root-cause 694 dynamic accesses have a diverse set of sources. The largest single source are JavaScript's 695 for-in loops for iterating over object properties, studied frequently in the literature as a 696 challenge for static analysis (e.g., [19, 47]). However, they account for only 31% of cases in 697 total, and many other sources exist. Property names are often passed in from outside the 698 function containing the access, whether by parameter passing (28%) or variables in enclosing 699 lexical scopes (12%); handling these cases may require inter-procedural tracking of property 700 name value flow. Another major source is property reads (12%) (i.e., the property name is 701 read from another object property), whose handling may again require deep tracking of value 702 flow. 703

String concatenation cases comprise 14% of root-cause property name expressions. Only
4% of such expressions in our benchmarks had a string constant prefix or suffix, the type of
expression targeted by Nielsen et al. [44]. Hence, the data show that their technique would
likely have at most a small impact on recall for our benchmarks.

A deeper study of inter-procedural property name value flow could provide further insights on how these names are computed; this remains as future work. Still, our data show it is likely that a variety of challenges would need to be addressed to significantly improve ACG's recall with respect to dynamic property accesses.

712 7.4 Threats to Validity

As noted in Section 6, we do not claim generalizability of the results for our benchmarks to 713 a broader set of JavaScript applications. In our benchmark suite, each individual framework 714 is primarily exercised by a single TodoMVC benchmark, which may not be representative of 715 other applications using that framework. Also, though our harness achieves high statement 716 coverage for the TodoMVC benchmarks (Section 6.3), it is possible that certain application 717 behaviors in those apps remain unexercised. Our dynamic coverage of Juice Shop was 718 relatively low due to scalability limitations; more complete coverage is required to make 719 strong conclusions about relative importance of root causes for that application. Finally, as 720 noted in Section 5, our tooling still does not handle certain language features completely, 721 which may have impacted our measurements. 722

723 8 Related Work

Here, we briefly discuss related studies of analysis effectiveness, and also other analysis
 frameworks and their applicability to framework-based web applications.

Root cause analysis Our work was partly inspired by a study of call graph recall for Java 726 programs by Sui et al. [57]. As in that work, we measure recall with respect to dynamic 727 analysis measurements, and we aim to determine which constructs are responsible for missing 728 edges. Sui et al.'s approach used calling-context trees [18] and runtime tagging of reflective 729 operations to determine language features impacting recall. Since functions are first-class 730 values in JavaScript, we can trace function data flow directly to make this determination. 731 Also, due to JavaScript's dynamic nature, the potential causes of missing edges and their 732 usage patterns differ significantly from Java's problematic constructs. 733

Andreasen et al. present techniques for isolating soundness and precision issues in the 734 TAJS static analyzer for JavaScript [20]. For finding analysis unsoundness, their technique 735 creates logs of expression values while executing target programs, and then checks that the 736 static analysis abstractions account for all such values. When unsoundness is discovered 737 for a program, delta debugging [61] is employed to find a reduced version of the program 738 with the same unsoundness. From this reduced program, determining a root cause is often 739 much simpler. In contrast to their work, which is focused on an analysis that strives for full 740 soundness, our approach is targeted at analyses with deliberate unsoundness (for practicality), 741 and aims to quantify the impact of different unsoundness root causes. 742

Reif et al. [61] present a system that provides methods for exposing sources of unsoundness
in different Java call graph builders and also for measuring how frequently hard-to-analyze
constructs appear in a set of benchmarks, yielding many useful practical insights. A difference
with our work is that our technique can automatically connect specific uses of hard-to-analyze
constructs to the corresponding missed call graph edges. This provides important additional
information for JavaScript, since hard-to-analyze constructs can appear pervasively in
JavaScript code, and not all occurrences cause call graph unsoundness.

Lhoták [37] also presents a comparison of static and dynamic call graphs for Java, aimed 750 at finding sources of imprecision in the static call graph. Other work [20, 60] used dynamic 751 analysis to generate traces and find root causes of imprecision in JavaScript static analyses, 752 and Wei et al. [60] also provides suggestions to fix the root causes of imprecision. Lee et 753 al. [35] produce a tracing graph by tracking information flow from imprecise program points 754 backwards, thereby aiding the user to identify main causes of the imprecision. Our work 755 differs from all of these studies in its focus on recall rather than precision, which necessitates 756 different techniques. 757

JavaScript Analyses Several analysis frameworks use abstract interpretation [24] to handle 758 the interdependent problem of scalability and precision in JavaScript [32, 33, 36]. These 759 frameworks have been steadily enhanced with techniques to improve precision and scalability 760 when analyzing libraries, particularly TAJS [19, 31, 32, 43] and SAFE [34, 35, 36, 46, 47, 761 50. While these techniques have shown enormous improvement in analyzing libraries like 762 jQuery [10] and Lodash [11], they do not yet scale to complex MVC frameworks like React [4]. 763 Other techniques use dynamic information to improve static analysis. Wei and Ryder 764 introduced blended analysis [59], which uses dynamic analysis to aid static analysis in handling 765 JavaScript's dynamic features. The dynamic flow analysis by Naus and Thiemann [41] 766 generates flow constraints from a training run to infer types in JavaScript applications. 767 (Their technique finds constraints by tracking operations on values; we determine how values 768 are copied through memory, an orthogonal problem.) Lacuna [45] utilizes static and dynamic 769 analysis to detect dead code in JavaScript applications; this work uses ACG and also uses 770 TodoMVC applications for evaluation. While dynamic information can be very helpful in 771 static analysis, improving pure static analysis is still desirable, as it can compute results 772

⁷⁷³ without instrumenting and running the code and without inputs.

To analyze JavaScript applications that use the Windows runtime and other libraries, 774 Madsen et al. proposed a use analysis that infers points-to specifications automatically [38]. 775 It is unclear if their analysis will be effective for framework-based applications, where control 776 flow is mainly driven by the framework, not the application. Also, we study applications using 777 diverse frameworks from by many different developers, whereas [38] focuses on Windows 778 libraries. For Node is, Madsen et al. [39] presented a static analysis using call graphs 779 augmented to represent event-driven control flow. To scale static analysis in server-side 780 JavaScript applications in Node.js, Nielsen et al. present a feedback-driven static analysis 781 to automatically identify the third-party modules that need to be analyzed [42]. Our focus, 782 however, is on client-side MVC applications that often do not have clean module interfaces. 783 Other recent systems make use of pragmatic JavaScript static analyzers. The CodeQL 784 system [7] includes an under-approximate call graph builder for JavaScript [8]. CodeQL's 785 analysis is primarily intra-procedural, targeted toward taint analysis, and does not handle 786 dynamic property accesses.¹⁰ Møller et al. [40] describe a system for detecting breaking 787 library changes in Node.js programs, based on an under-approximate analysis designed for 788 high recall at the cost of some precision. Nielsen et al. [44] present a pragmatic modular 789 call-graph construction technique for Node is programs; we discussed its specialized handling 790 of property name expressions in Section 7.3. For these approaches, our methodology could 791 be used to quantify the importance of different causes of reduced recall. Salis et al. recently 792 presented a pragmatic call graph builder for Python programs [51]; it would be interesting 793 future work to extend our techniques to Python. Beyond dataflow-based reasoning about 794 call graphs, other approaches to JavaScript static analysis include AST-based linting [9] and 795 type inference [16, 23]. 796

⁷⁹⁷ 9 Conclusions

We have presented novel techniques for quantifying the relative importance of different root 798 causes of missed edges in JavaScript static call graphs. We instantiated our approach to 799 perform a detailed study of the results of the ACG algorithm on modern, framework-based 800 web applications. The study's results provided numerous insights on the variety and relative 801 impact of root causes for missed edges. All of our code and data is publicly available. In 802 future work, we plan to extend the study to other domains; we expect that analyses for 803 any dynamic language with extensive use of higher-order functions could benefit from our 804 techniques. We also plan to use the techniques to further develop improved call graph 805 builders and other JavaScript static analyses. 806

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